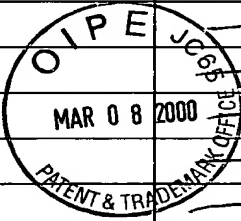


#4

Sheet 1 of 3

FORM PTO-1449		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		ATTY DOCKET NO. YAMAMOTO=16A		SERIAL NO. 09/435,770						
LIST OF DOCUMENTS CITED BY APPLICANT (Use several sheets if necessary)				APPLICANT: Takuo YAMAMOTO et al								
				FILING DATE: November 8, 1999		GROUP:						
U.S. PATENT DOCUMENTS (include at least patentee, patent number, and issue date)												
EXAMINER INITIAL		DOCUMENT NUMBER				DATE	PATENTEE	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE		
<i>CAF</i>	AA	4	5	2	1	2	5	2	04JE1985	Miyake et al	_____	
<i>CAF</i>	AB	R	E	3	3	0	4	7	05SE1989	Miyake et al	_____	
FOREIGN PATENT DOCUMENTS (include at least document number, publication date and country)												
		DOCUMENT NUMBER				DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION		
										YES	NO	
<i>CAF</i>	AC	58-23799				12FE1983	Japan	_____	_____	Corresp. to AB		
	AD	58-72598				30AP1983	Japan	_____	_____	Corresp. to AA		
	AE	63-157987				30JE1988	Japan	_____	_____	See CD		
	AF	5-502162				22AP1993	Japan	_____	_____	See CE		
	AG	0 606 753				20JL1994	EPO	_____	_____			
	AH	0 628 630				14DE1994	EPO	_____	_____			
	AI	7-143876				06JE1995	Japan	_____	_____	Corresp. to AG		
	AJ	0 671 470				13SE1995	EPO	_____	_____			
	AK	7-213283				15AU1995	Japan	_____	_____	Corresp. to AH		
	AL	0 674 005				27SE1995	EPO	_____	_____			
	AM	7-298880				14NO1995	Japan	_____	_____	Corresp. to AJ		
	AN	7-322883				12DE1995	Japan	_____	_____	Corresp. to AL		
	AO	WO 95/34642				21DE1995	PCT	_____	_____	Corresp to BJ		
	AP	0 688 867				27DE1995	EPO	_____	_____			
	AQ	0 690 130				03JA1996	EPO	_____	_____			
	AR	0 690 131				03JA1996	EPO	_____	_____			
	AS	0 691 407				10JA1996	EPO	_____	_____			
	AT	0 693 558				24JA1996	EPO	_____	_____			
	AU	0 697 461				21FE1996	EPO	_____	_____			
	AV	8-66187				12MR1996	Japan	_____	_____		XX	
	AW	8-66188				12MR1996	Japan	_____	_____	Corresp. to AP		
	AX	8-73482				19MR1996	Japan	_____	_____	Corresp. to AQ		
<i>↓</i>	AY	8-73504				19MR1996	Japan	_____	_____	Corresp. to AS		
EXAMINER <i>Chastan L. Tonda</i>							DATE CONSIDERED <i>7/20/01</i>					
EXAMINER: Initial if reference considered. Draw line through citation if not in conformance <u>and</u> not considered. Include copy of this form with next communication to applicant.												

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					FILING DATE: November 8, 1999		GROUP:	
FOREIGN PATENT DOCUMENTS (include at least document number, publication date and country)								
EXAMINER INITIAL	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION		
						YES	NO	
<i>caj</i> <div style="border-left: 1px solid black; height: 100%; width: 1px; margin: 0 auto;"></div>	AZ	8-73506	19MR1996	Japan			Corresp. to AR	
	BA	8-84586	02AP1996	Japan			Corresp. to BB	
	BB	0 709 461	01MY1996	EPO				
	BC	8-506731	23JL1996	Japan			See CF	
	BD	2576970	07NO1996	Japan			See CC	
	BE	8-336363	24DE1996	Japan			XX	
	BF	8-336388	24DE1996	Japan			Corresp. to AU	
	BG	9-500024	07JA1997	Japan			See CG	
	BH	9-9986	14JA1997	Japan			Corresp. to AT	
	BI	9-500543	21JA1997	Japan			See CH	
	BJ	0 764 720	26MR1997	EPO				
	BK	9-154493	17JE1997	Japan			Claims	
	BL	9-252719	30SE1997	Japan			Claims	
	BM	0 813 820	29DE1997	EPO				
	BN	10-66540	10MR1998	Japan			Corresp. to BM	
	BO	0 834 516	08AP1998	EPO				
	BP	10-165118	23JE1998	Japan			Corresp. to BO	
	BQ	10-168093	23JE1998	Japan			Corresp. to BR	
	BR	0 850 947	01JL1998	EPO				
	BS	0 868 916	07OC1998	EPO				
BT	0 882 408	09DE1998	EPO					
BU	11-46717	23FE1999	Japan			Corresp. to BT		
BV	11-60590	02MR1999	Japan			Claims		
BW	11-75686	23MR1999	Japan			Claims		
BX	11-75763	23MR1999	Japan			Claims		
BY	11-158075	15JE1999	Japan			Claims		
BZ	0 925 719	30JE1999	EPO					
CA	11-263795	28SE1999	Japan			Corresp. to BS		



EXAMINER <i>Christina T. Hanks</i>	DATE CONSIDERED <i>7/20/01</i>
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							YES	NO
CJ	CB	11-308983	09NO1999	Japan			Corresp. to BZ	
FOREIGN PATENT DOCUMENTS (include at least document number, publication date and country)								
CJ	CC	Chemical Abstracts, 105(21):185270; 105:185265t; Diderichsen Eur. Pat. Appln. EP 185,512, "Stabilizing extra-chromosomal elements in bacteria during cultivation, extra-chromosomal elements, transformed bacteria and a method of producing a desired product in transformed bacteria" (1986)						
	CD	Chemical Abstracts, 109(3):179; 109:18173n; Hastrup Eur. Pat. Appln. EP 242,220, "Xylose-inducible cloning vectors for Bacillus subtilis" (1988)						
	CE	Chemical Abstracts, 115(11):255; 115:107773z; Joergensen PCT Int. Appln. WO 91 09,129, "Stable integration of DNA in bacterial genomes" (1991)						
	CF	Chemical Abstracts, 121(19):343-343; 121:223663m; Joergensen PCT Int. Appln. WO 94 19,454, "Cloning and expression of Pyrococcus alpha-amylase gene and use of enzyme in starch degradation" (1994)						
	CG	Chemical Abstracts, 122(17):927; 122:212617u; Wassermann PCT Int. Appln. WO 95 04,462, "Protein concentrations in rye-flour" (1995)						
	CH	Chemical Abstracts, 123(17):341; 123d:220286w, Berka, PCT Appln. No. WO 95 15,391, "Aspergillus expression systems for production of cellulase, lipases, peroxidase, and xylanase" (1995)						
	CI	Hasegawa (Ed.), "Biseibutsu-no-Bunrui-to-Dotei" (Classification and Identification of Microorganisms), Japan Scientific Societies Press, Tokyo, Japan (1985)						
	CJ	Horton et al, "Gene Splicing by Overlap Extension", <u>Methods in Enzymology</u> 217:270-279 (1993)						
	CK	Lipman et al, "Rapid and Sensitive Protein Similarity Searches", <u>Science</u> , 227:1435-1441 (1985)						
	CL	Matsumura et al (Eds), "Jikken-Igaku-Bessatsu-Shin-Idennshi-Kogaku-Handbook" (Handbook of Genetic Engineering), Yodosha Co., Ltd., Tokyo, Japan, pp. 269-283 (1996)						
	CM	Riazuddin, S., "Plant Genetic Engineering and Future Agriculture" in <u>Genetic Engineering: Principles and Methods</u> , Vol. 16, Setlow (Ed.), Plenum Press, New York and London, pp. 93-113 (1994)						
	CN	Sambrook et al (Eds.), <u>Molecular Cloning: A Laboratory Manual</u> , 2 <sup>nd</sup> . Ed., Cold Spring Harbor Laboratory Press, Cold Spring Harbor, NY (1989)						
	CO	Sneath et al (Eds.), <u>Bergey's Manual of Systematic Bacteriology</u> , Vol. 2, Williams & Wilkins, Baltimore, MD, pp. 1291-1301 (1984)						
	CP	<u>Handbook of Amylases and Related Enzymes</u> , Ed. The Amylase Research Society of Japan, Pergamon Press, pp. 18-142 (1988)						
EXAMINER		DATE CONSIDERED						
Christa L. Horde		7/20/01						
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